



Community Bankers Association of Kansas presents...

Thursday April 15, 2010

Regulatory Compliance for the Board & Senior Management

Telephone / Webinar scheduled 2:00 - 3:30 pm

Do you have a compliance examination looming on the horizon or have you just undergone the process? Recent enforcement actions prove that an effective compliance program begins at the top. Board and management training is key to establishing and maintaining a strong compliance program. It is extremely important for bank directors to understand the various areas of compliance that require their annual review and approval. This session will provide a list of those areas, with a focus on risk-based compliance management. We will cover the high-risk areas in lay terms, with the board in mind (presenting the information most important to the board). Compliance issues considered high-risk for banks of all sizes and locales will be included. Understand your responsibilities and liabilities before the examiner is at the door!

HIGHLIGHTS

- The board's responsibilities for your Bank Secrecy Act program
- **Fair lending issues - currently a VERY "hot button"**
- Regulation O – Insider Lending
- Red flags for identity theft
- Privacy Regulation – Reg P

SPEAKER:

Ann Brode, Brode Consulting Services, Inc.

WHO SHOULD ATTEND:

This informative session is designed for directors, management, compliance officers, and auditors

	<u>TRAINING OPTION</u>	MEMBER	NON-MEMBER
A	Telephone Seminar	\$205	\$275
B	Webinar <small>(audio will be over normal phone lines / visuals over the web)</small>	\$215	\$285
C	Webinar and On-Demand Web Link** Plus receive a FREE CD Rom	\$260	\$330
D	On-Demand Web Link** Plus receive a FREE CD Rom	\$200	\$270

****ON-DEMAND WEB LINK** is a recording of the live event including audio, visuals, and handouts. We even provide the presenter's email address so you may ask follow-up questions. Within 5 business days following the webinar, you will be provided with a web link that can be viewed anytime 24/7 for the next 6 months. This link will expire 6 months after the live program date. **But there's more . . . AS AN ADDED BONUS, you will also receive a FREE audio/visual CD ROM.*** The CD includes the original audio/visual presentation, the question and answer sessions, and the handouts. Use the on-demand link or this "off-the-shelf" training program for those that could not attend the live seminar and for future training. ***CD Rom for PC use only**

<u>CIRCLE OPTION</u>	<i>Choose option above by circling corresponding letter next to seminar choice below. *See "EXAMPLE" Below **Pricing is for each seminar selected / per phone line</i>
A B C D	Regulatory Compliance for the Board & Senior Management, <i>Thursday, April 15 "EXAMPLE"</i>
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