

FDIC: BANK SECRECY ACT SEMINAR

About the Program

You deserve straightforward BSA guidance from your bank regulator! This one-day seminar covers all key elements of BSA compliance programs for non-complex community banks in a community banker in mind to take the guess work out of regulatory expectations. Attending this seminar will enable you to:

- Recognize common pitfalls and problem areas
- Avoid common violations at an examination
- Get answers directly from the examiners

Topics covered

The Bank Secrecy Act

- What constitutes an adequate BSA compliance program and when do regulators use enforcement actions?
- Why is a risk assessment important?
- Review key anti-money laundering legislation
- Understand requirements and avoid common problem areas of
 - Currency Transaction Reports
 - Exemptions
 - Monetary Instruments
 - Wire Transfers

Office of Foreign Assets Control

- What constitutes an adequate OFAC compliance program and what will the examiners review?
- Avoid common problem areas and OFAC penalties

USA PATRIOT Act

- Key requirements
- Learn how to properly handle law enforcement search request
- Understand Customer Identification Program (CIP) pitfalls
- Avoid Common problem areas and common violations

Suspicious Activity Reporting

- Is reportable activity present in my institution?
- Learn proper filing requirements
- Avoid defensive filing and other critical filing errors.

Who Should Attend

This seminar should be attended by BSA officers, compliance officers, internal auditors, and others who are charged with assuring that the bank complies with anti-money laundering rules and regulations of the BSA, USA PATRIOT Act, and OFAC.

Instructor

Ashley Schrag serves as Special Activities Case Manager for the FDIC's Kansas City Regional Office, where he directs and coordinates BSA/AML supervision for the seven-state region. He is a Certified Anti-Money Laundering Specialist who has conducted numerous BSA examinations in financial institutions ranging in asset size from \$2 million to \$8 billion. Mr. Schrag has played a vital role in the FDIC's outreach, training, and education program, conducting numerous BSA/AML seminars with trade organizations across the Midwest. He was a recipient of the 2004 FDIC Chairman's Excellence Award, a national honor and highest individual achievement award in the Corporation. Mr. Schrag began his FDIC career in 1991 working as bank examiner in Kansas and Missouri. He also served as a bank examiner for the Office of the Comptroller of the Currency. Mr. Schrag graduated Cum Laude with a BS degree in Economics from Kansas State University, Manhattan, Kansas.

DATES & LOCATIONS

Wichita, KS

Tuesday, April 13, 2010

9:00 am – 3:00 pm

Marriott

9100 E Corporate Hills Drive

Topeka, KS

Wednesday, April 14, 2010

9:00 am – 3:00 pm

Shawnee Country Club

913 SE 29th Street

CBA MEMBER PRICE \$95 CBA NON-MEMBER PRICE \$120

Cost includes: Instruction, Materials & Light Lunch

Cancellation Policy: Full refunds (less \$15 processing fee) will be made if received within seven days before seminar. Otherwise, fee will be forfeited. Substitutions are welcome and encouraged at no charge.

BANK _____

ADDRESS _____

CITY _____ ZIP _____

PHONE _____ Wichita Topeka

REGISTRANTS NAME AND E-MAIL:

4 EASY WAYS TO REGISTER:

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